

RiverSource Non-Retirement Transfer Form



This form is to be used ONLY for non-retirement accounts held in single or joint tenancy.
Please use a separate form for each company from which you are transferring assets to RiverSource® Funds.

Part 1 Investor Information *Please type or print.*

Name (First, Middle Initial, Last)

Social Security number

Date of birth (MMDDYYYY)

Joint owner's name (First, Middle Initial, Last)

Joint owner's Social Security number

Joint owner's date of birth (MMDDYYYY)

Please check if you are changing your address of record. *Medallion signature guarantee required.*

Street address

Apt. # / Lot / Unit

City

State

ZIP Code

Daytime phone number

Evening phone number

Part 2 Asset Transfer Information

Please check one of the following:

- I am opening a new account and have attached a RiverSource Account Application (required to open a new account). Invest as indicated below.
- Transfer-in-kind*
- Please deposit proceeds of this transfer into my existing account(s) listed below:

Fund name	Account number	Percentage	Dollar amount	Transfer-in-kind*
<input type="text"/>	<input type="text"/>	% <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	% <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	% <input type="text"/>	\$ <input type="text"/>	<input type="text"/>

*Please note, only RiverSource Funds are eligible to be transferred-in-kind.



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For assistance completing this form, please contact a representative at 1 (800) 221-2450, Monday through Friday, 7:00 a.m. to 6:00 p.m. Central time.

Part 3 Transfer Instructions to Current Financial Institution

Account registration: Please attach a current account statement from resigning institution.

Account is transferring from:

Name of current financial institution

Contact phone number

Fund name (if applicable)

Account number

Financial institution address

City

State

Zip

Account owner's name (First, Middle Initial, Last)

Joint account owner's name (First, Middle Initial, Last)

To resigning fund company:

Transfer minimum is \$1,000.00 per Fund.

Please liquidate all part \$ _____ of the accounts listed below. Make payable to and transfer the proceeds to RiverSource (account holder's name)

Please transfer in kind* all part _____ shares of the accounts listed below.

Account number

All or part (\$)

Liquidation/Maturity date (MMDDYYYY)

Note to resigning fund company: Be sure to return a copy of this Transfer Form with your check for the account proceeds. Please make checks payable to RiverSource (account holder's name).

*Only RiverSource Funds are eligible for transfer-in-kind.

Part 4 Investor(s) Authorization

Please sign here to authorize the transfer of your assets. Please have all registered owners sign here to authorize the transfer of assets.

Signature

Date (MMDDYYYY)

**Affix Medallion Signature
Guarantee Stamp Here.**

X

Signature

Date (MMDDYYYY)

X

Please note: Your existing fund company may require that your signature(s) be guaranteed. Please call them for requirements prior to submitting this request. A signature guarantee is available from a bank, broker-dealer, credit union (if authorized under state law) or a securities exchange. A notary public cannot provide a signature guarantee and a notarized signature is not to be accepted in lieu of a signature guarantee. The signature of all registered shareowners may be required.

Part 5 Mailing Instructions

Please return to:

Regular mail RiverSource
 c/o Boston Financial
 P.O. Box 8041
 Boston, MA 02266-8041

Overnight mail RiverSource
 c/o Boston Financial
 30 Dan Road
 Canton, MA 02021-2809

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