

RiverSource Growth 401(k) Proposal Request Form



i Fax to 1 (212) 922-5740. For assistance call 1 (888) 597-1553.
Choose Program: Growth 401(k) or Open Architecture (plans with at least \$1 million in assets*)

Part 1 Financial Advisor Information *(required)(please type or print)*

Name			Title	
Firm Name				
E-mail address			Telephone Number	

Street Address			
City	State	ZIP Code	
2nd Financial Advisor (if applicable)			

Part 2 Proposal Mailing Instructions *(required)*

Please allow 48-hour turnaround; all proposals are mailed to the Financial Advisor.

Date needed by Presentation date # of Proposals required (standard is 2)

Part 3 Plan Sponsor Information *(required)*

Company Name	
Industry	

Part 4 Plan Information *(required)*

Plan Status New Existing

Plan type 401(k) Profit Sharing Money Purchase New Comparability

Age-Weighted Other _____

Total # of Employees _____ Eligible Employees _____ Active Participants (existing plan) _____

Part 5 Existing Plan Information

Existing plans that require custom documents and features may be subject to additional fees.

Estimated Plan Assets \$ _____

Document Type Standard Prototype Non-Standard Prototype Custom

Investment Provider/Trustee _____

Current Record Keeper _____

Will all assets be liquidated? Yes No

Are there any frozen assets? Yes No

*New plans with at least 100 eligible employees may also qualify. Contact RiverSource Funds for details.



ColumbiaManagementSM

Important changes at Columbia Management and RiverSource Investments

The long-term asset management business of Columbia Management has been acquired by Ameriprise Financial, bringing together the fund families of Columbia Management (Columbia, Columbia Acorn and Wanger Funds) and RiverSource Investments (*RiverSource*[®], *Seligman*[®] and *Threadneedle*[®] Funds).

The combined organization offers a comprehensive choice of investment products managed by a diverse group of dedicated investment teams that share a passion for investing and a commitment to delivering a consistent investment experience. Our approach is distinguished by a singular focus on our clients, and we look forward to continuing to support your investment needs.

New entities

For Columbia Fund shareholders You will see the new entity name for the investment manager on communications and statements going forward. This reflects the new investment adviser for your funds. This company is part of Ameriprise Financial.

Previous investment adviser	New investment adviser (effective May 1, 2010)
Columbia Management Advisors, LLC	Columbia Management Investment Advisors, LLC

For Columbia Acorn and Wanger Fund shareholders The name of the Columbia Acorn and Wanger Funds' investment manager, Columbia Wanger Asset Management (CWAM), will not change in connection with the acquisition of Columbia Management, nor will your investment managers. Effective May 1, 2010, CWAM will become part of Ameriprise Financial.

For RiverSource, Threadneedle and Seligman Fund shareholders The investment adviser for your funds will remain the same, but its name will change as indicated below. You will see the new entity name on communications and statements going forward.

Previous investment adviser	New investment adviser entity name (effective May 1, 2010)
RiverSource Investments, LLC	Columbia Management Investment Advisors, LLC

New entities continued

For All Fund shareholders You will see the new entity names listed below as distributor and transfer agent of all Funds on communications and statements going forward. These companies are part of Ameriprise Financial.

Funds	Previous distributor name	New distributor name (effective May 1, 2010)
RiverSource, Seligman, Threadneedle	RiverSource Fund Distributors, Inc.	Columbia Management Investment Distributors, Inc.
Columbia Fund Family	Columbia Management Distributors, Inc.	
Funds	Previous transfer agent name	New transfer agent name (effective May 1, 2010)
RiverSource, Seligman, Threadneedle	RiverSource Service Corporation	Columbia Management Investment Services Corp.
Columbia Fund Family	Columbia Management Services, Inc.	

Same relationships and contacts

Initially, you will continue to benefit from:

- > The same financial intermediary relationships
- > The same customer service phone numbers and addresses
- > The same online access to account and product information

Columbia Fund Family	800.345.6611	columbiamanagement.com	P.O. Box 8081 Boston, MA 02266-8081
RiverSource, Seligman and Threadneedle Funds	800.221.2450	riversource.com seligman.com	70100 Ameriprise Financial Center Minneapolis, MN 55474



ColumbiaManagementSM

One Financial Center
Boston, MA 02111

columbiamanagement.com

You should consider the investment objectives, risks, charges and expenses of a mutual fund or 529 plan carefully before investing. For a free prospectus, which contains this and other important information about the funds, or a Program Description and Participation Agreement, which contains this and other important information about 529 plans, call the appropriate toll-free number listed above. Read the prospectus or program description and participant agreement carefully before investing.

Not FDIC insured • No bank guarantee • May lose value

On April 30, 2010, Ameriprise Financial, Inc., the parent company of RiverSource Investments, LLC, acquired the long-term asset management business of Columbia Management Group, LLC, including certain of its affiliates, which were, prior to this acquisition, part of Bank of America. In connection with the acquisition of the long-term assets, certain clients of Columbia Management Advisors, LLC (including the Columbia Funds) have a new investment adviser, RiverSource Investments, LLC, which is now known as Columbia Management Investment Advisers, LLC. On the same date, Ameriprise Financial also acquired Columbia Wanger Asset Management, L.P. (CWAM). CWAM will continue as the investment adviser for Columbia Acorn and Wanger Funds and no changes are anticipated in the existing investment management team. For those clients that use the services of a sub-adviser, those arrangements are continuing unless notified otherwise. RiverSource Fund Distributors, Inc., now known as Columbia Management Investment Distributors, Inc., member FINRA, will act as the principal distributor of the Columbia family of funds, which consists of Columbia, Wanger, Columbia Acorn, RiverSource, Seligman and Threadneedle branded funds. RiverSource Service Corporation, now known as Columbia Management Investment Services Corp., is the transfer agent for the Columbia family of funds.

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